

Making the railway system
work better for society.

Report on budgetary and financial management information for the financial year 2019

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Introduction

1.1. Budgetary principles

The budget of ERA has been established in compliance with the principles of unity, budget accuracy, annuality, equilibrium, unit of account, universality, specification, sound financial management and transparency as set out in ERA's Financial Regulation.

1.2. Management information systems

The Agency used the following software/tools during 2019:

- › ABAC suite:
 - ABAC WORKFLOW and ABAC ACCOUNTING (SAP) – financial management, budgetary and accounting system;
 - ABAC DATAWAREHOUSE – financial reporting of ABAC environment;
 - ABAC ASSETS – fixed assets, inventory management;
- › E-HR suite:
 - MiMa – Mission Management - management of staff missions;
 - LeaMa – Leave Management – management of leaves;
 - TiMa – Time Management – management of working hours;
- › Centralised SharePoint lists:
 - PROCUREMENT REQUEST – management of procedures;
 - TRANSLATION REQUEST – management of requests for translation to the Centre de Traduction;
 - CARRY-FORWARD FORMS – management of carry-forward forms;
 - VAT exemption forms – Management of VAT reimbursement requests
 - Individual/Provisional commitment requests

These information systems allow to efficiently manage the budgetary appropriations allocated to the Agency while respecting the principles of the Financial Regulation.

The workflows, in all systems put in place by the Authorising Officer, ensure that each transaction respects the “four eyes” principle.

1.3. Budget

The budget of the Agency has four Titles:

- › Title I covers staff expenditures such as salaries, training and costs associated to recruitment procedures and staff wellbeing;
- › Title II covers the costs associated to the functioning of the Agency such as building maintenance, back-office infrastructure, IT equipment and related supporting services;
- › Title III covers the Agency's operational activities.
- › Title IV covers the expenditures linked to the delivery of Vehicle Authorisations, Single Safety Certificates, ERTMS Trackside approval and other chargeable services.

2. Revenue

The Budgetary Authority (the European Parliament and the Council) approved the initial 2019 ERA budget of € 27 139 347, including the Commission's subsidy for € 26 419 278, assigned revenue for € 80 722 and the EFTA contribution for € 639 347.

In November 2019 the Agency received additional appropriations for € 530 000 approved by the Budgetary Authority bringing the total budget for 2019 to €27 669 347.

In addition the Agency received in 2019 “C4 fund source assigned revenues” for an amount of € 160 797 coming from different sources:

- › BL 1100: € 45 459 received from for the provision of accounting services to ESMA in the frame of the Service Level Agreement between ESMA and ERA;
- › BL 1100: € 2 796 recuperaton of private phone expenses from ERA staff
- › BL 2100: €18 765 received as liquidated damages by IT -supplier
- › BL 2100: € 2 822 received as compensation from IT-supplier and insurance company for broken/stolen laptops
- › BL 2101: € 1 900 received as liquidated damages by IT -supplier
- › BL 2230: € 15 180 received as liquidated damages by IT -supplier
- › BL 3002: €2 325 received for the provision of training services to applicant
- › BL 3012: €1 000 received for participation as speaker to conference
- › BL 3042: €70 550 received as participation fees for the 2019 CCRCCC conference

The Agency had “2019 C5 fund source assigned revenues” coming from the carry-over of the 2018 C4 fund source assigned revenues in 2018:

- › BL 1300 C5: € 2 140 reimbursement of missions

Following its new activities, the Agency invoiced a total amount of 480 680 €, out of which € 345 280 was cashed in 2019 This discrepancy can mainly be explained by the fact that the Agency only can issue its invoice to the applicant once the Authorisation/Certificate/Approval is delivered. In addition the applicant benefits from a 60 days payment delay. Taking into account the legal deadlines the time between the submission of the application and the cash receipt can go up to 240 days.

In the course of 2019 the Agency has also received an additional instalment of € 468 803 in relation to the EUMEDRAIL project.

Below an overview can be found of the revenue actually received in 2019.

| <i>Revenue</i> | <i>Initial budget</i> | <i>Amending budget</i> | <i>Assigned revenue received in 2019</i> | <i>Final budget</i> |
|------------------------------|-----------------------|------------------------|--|---------------------|
| <i>Subsidy</i> | 27 139 347 | 530 000 | 0 | 27 669 347 |
| <i>Fee income</i> | | | 345 280 | 345 280 |
| <i>EUMEDRAIL subsidy</i> | | | 468 803 | 468 803 |
| <i>Miscalleneous revenue</i> | 0 | 0 | 160 797 | 160 797 |
| TOTAL | 27 139 347 | 530 000 | 974 880 | 28 644 227 |

3. Budgetary tables**3.1. Budget outturn account**

| | 2019 | 2018 |
|---|-------------------|-------------------|
| Revenue | | |
| Commission subsidy DG MOVE | 27 669 347 | 28 793 243 |
| IPA funds from Commission | 468 803 | |
| Fee income | 345 280 | |
| Other revenue | 160 797 | 57 169 |
| Total revenue (a) | 28 644 227 | 28 850 412 |
| Expenditure | | |
| Personnel expenses – Budget Title I | | |
| Payments | 20 287 822 | 18 722 601 |
| Automatic carry-overs | 56 645 | 146 692 |
| Administrative expenses – Budget Title II | | |
| Payments | 1 826 190 | 2 219 078 |
| Automatic carry-overs | 298 153 | 478 361 |
| Operational expenses – Budget Title III | | |
| Payments | 3 923 073 | 5 444 434 |
| Automatic carry-overs | 2 236 106 | 2 884 535 |
| Operational expenses – Budget Title IV | | |
| Payments | 4 226 | - |
| Automatic carry-overs | 341 054 | - |
| Total expenditure (b) | 28 973 268 | 29 895 702 |
| Outturn for the financial year (a-b) | -329 042 | -1 045 290 |
| Cancellation of unused carry-overs | 54 280 | 122 715 |
| Adjustment for carry-over from the previous year of appropriations available at 31.12 arising from assigned revenue | 335 358 | 1 045 234 |
| Exchange differences for the year | -1 110 | -2 780 |
| Balance carried over from year N-1 | 119 879 | 80 722 |
| Positive balance from year N-1 reimbursed in year N to the Commission | -119 879 | -80 722 |
| Balance of the outturn account for the financial year | 59 486 | 119 879 |

3.2. Budgetary transfers and amending budget

| 2019 | Initial adopted budget | Amending budget | Transfers | Final adopted budget | Additional appropriations (assigned revenue) | Total appropriations available |
|------------------|-------------------------------|------------------------|------------------|-----------------------------|---|---------------------------------------|
| <i>Title I</i> | 19 014 047 | 530 000 | 750 281 | 20 294 328 | 48 255 | 20 342 583 |
| <i>Title II</i> | 2 510 000 | 0 | -419 799 | 2 090 201 | 38 667 | 2 128 868 |
| <i>Title III</i> | 5 615 300 | 0 | - 330 482 | 5 284 818 | 73 875 | 5 358 693 |
| TOTAL | 27 139 347 | 530 000 | 0 | 27 669 347 | 160 797 | 27 830 144 |

ERA executed the majority of transfers within the Titles and between Titles in order to optimize the budget execution and cope with the extra need in Title 1.

3.3. Budgetary execution*3.3.1. 2019-C1 Appropriations*

| 2019-C1 | Appropriations | Commitments | Not used | Paid | To be carry-forwarded |
|------------------|-----------------------|--------------------|-----------------|-------------------|------------------------------|
| <i>Title I</i> | 20 294 328 | 20 294 328 | 0 | 20 237 683 | 56 645 |
| <i>Title II</i> | 2 090 201 | 2 085 676 | 4 525 | 1 825 376 | 260 300 |
| <i>Title III</i> | 5 284 818 | 5 283 283 | 1 535 | 3 454 008 | 1 829 275 |
| TOTAL | 27 669 347 | 27 663 287 | 6 060 | 25 517 067 | 2 146 220 |

3.3.2. 2019-C4 Assigned revenues

| 2019-C4 | Appropriations | Commitments | Not used | Paid | To be carry-forwarded¹ |
|------------------|-----------------------|--------------------|-----------------|---------------|--|
| <i>Title I</i> | 47 998 ² | 47 998 | 0 | 47 998 | 0 |
| <i>Title II</i> | 38 667 | 900 | 37 767 | 814 | 37 853 |
| <i>Title III</i> | 73 875 | 0 | 73 875 | 0 | 73 875 |
| TOTAL | 160 540 | 48 898 | 111 642 | 48 812 | 111 728 |

3.3.3. 2019-C5 Assigned revenues carried over

| 2019-C5 | Appropriations | Commitments | Not used | Paid | To be carry-forwarded |
|----------------|-----------------------|--------------------|-----------------|--------------|------------------------------|
| <i>Title I</i> | 2 140 | 2 140 | 0 | 2 140 | 0 |
| TOTAL | 2 140 | 2 140 | 0 | 2 140 | 0 |

3.3.4. 2019 R0 appropriations

| 2019-R0 | Appropriations | Commitments | Not used | Paid | To be carry-forwarded |
|------------------|-----------------------|--------------------|-----------------|----------------|------------------------------|
| <i>Title III</i> | 802 021 | 799 522 | 2 499 | 469 064 | 330 458 |
| <i>Title IV</i> | 1 793 032 | 1 615 437 | 177 595 | 4 226 | 1 611 211 |
| TOTAL | 2 595 053 | 2 414 959 | 180 094 | 473 290 | 1 941 669 |

¹ This carry-forward amount represents only C4 appropriations non used (committed). Hence they will be carried-over in C5 appropriations.

² Difference of € 257 is due to cancellation of recovery order which technically has been wrongly considered as cashed revenue.

3.3.5. 2019-C8 Appropriations carried over

| 2019-C8 | Carry-forward | Paid | To be cancelled |
|------------------|----------------------|------------------|------------------------|
| <i>Title I</i> | 144 552 | 138 855 | 5 697 |
| <i>Title II</i> | 478 361 | 475 069 | 3 292 |
| <i>Title III</i> | 2 551 317 | 2 506 026 | 45 291 |
| TOTAL | 3 174 230 | 3 119 950 | 54 280 |

3.3.6. Title I

With a total budget of € 20 294 328, the budgetary execution of 2019-C1 appropriations reached 100% for commitments and 99,7% for payments at the end of 2019. This budget execution takes into account the additional transfer of 530 k€ agreed by the Budget Authority.

At the end of 2019, nine Temporary Agents posts , three Contract Agents posts and four Seconded National Experts posts were vacant.

The budgetary execution of 2019-C4 appropriations reached 100% for both commitments and payments appropriations.

Payments execution of 2019-C5 appropriations reached 100% for both commitments and payments.

In Title I, Payments execution of 2019-C8 appropriations reached 96% of the total amount carried over € 144 552.

3.3.7. Title II

With total budget of € 2 090 201, the budgetary execution of 2019-C1 appropriations reached 99.8% for commitments and 87% for payments at the end of 2019.

The budgetary execution of 2019-C4 appropriations reached 2% for both commitments and payments appropriations.

In Title II, payment execution of 2019-C8 appropriations reached 99.3% of the total amount carried over € 478 361.

3.3.8. Title III

With total budget of € 5 284 818, the budgetary execution of 2019-C1 appropriations reached 99.9% for commitments and 65% for payments at the end of 2019.

The budgetary execution of 2019-C4 appropriations reached 0% for both commitments and payments appropriations.

In Title III, payments execution of 2019-C8 appropriations reached 98% of the total amount carried over € 2 551 317.

3.3.9. Total Budget

For C1 Appropriations:

The level of execution of the total commitment appropriations 2019-C1 reached almost 100% of the appropriations. The level of execution per title is as following:

- › T1: 100%
- › T2: 99.9%
- › T3: 99.9%

With regard to the execution of payment appropriations 2019-C1, the Agency used 92% of the appropriations. The level of execution per title is as following:

- › T1: 99.7%
- › T2: 87%
- › T3: 65%

For C8 Appropriations:

Payments execution of 2019-C8 appropriations reached 98% of the total amount of € 3 174 230 carried over from which € 54 280 were cancelled.

3.4. Multi-annual comparison

| <i>Fund sources C1</i> | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Budget</i> | 25 988 665 | 25 799 000 | 25 858 799 | 25 715 600 | 26 345 000 | 27 395 879 | 30 732 000 | 28 793 243 | 27 669 347 |
| <i>Commitments C1</i> | 24 638 232 | 24 489 177 | 25 306 933 | 25 030 954 | 26 107 508 | 27 128 097 | 30 731 401 | 28 787 624 | 27 663 287 |
| <i>% Commitments C1 / Budget</i> | 95 % | 95 % | 98 % | 97 % | 99% | 99% | 100% | 100% | 100% |
| <i>Unused C1 budget</i> | 1 350 433 | 1 309 823 | 551 866 | 684 646 | 237 492 | 267 782 | 599 | 5 619 | 6 060 |
| <i>Payments C1</i> | 21 020 345 | 22 090 435 | 22 786 192 | 22 069 562 | 23 652 504 | 25 086 616 | 26 828 213 | 25 613 394 | 25 517 067 |
| <i>% Payments C1 / Commitments C1</i> | 85 % | 90 % | 88 % | 86 % | 91% | 92% | 87% | 89% | 92% |
| <i>Payment appropriations C1 to be carried over</i> | 3 617 887 | 2 398 742 | 2 221 817 | 2 961 031 | 2 455 004 | 2 041 481 | 3 903 188 | 3 174 230 | 2 146 220 |
| <i>% Payment appropriations C1 to be carried over / Commitments C1</i> | 15 % | 10 % | 8.8 % | 11.8 % | 9.40% | 7.52% | 12.70% | 11% | 8% |

For 2019-C1 fund sources:

- › The execution of C1 commitment appropriations reached 100%;
- › The level of C1 payment execution reached 92%;
- › The C1 payment appropriations to be carried over amount to € 2 146 220 which represents 8% of the appropriations committed.

| <i>Fund sources-C8</i> | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Commitments carried over C8</i> | 5 465 491 | 3 617 887 | 2 398 742 | 2 221 817 | 2 963 023 | 2 455 004 | 2 046 141 | 3 940 479 | 3 174 230 |
| <i>C8 to be cancelled</i> | 126 116 | 126 316 | 87 293 | 69 599 | 115 707 | 111 021 | 69 473 | 122 715 | 54 280 |
| <i>% C8 to be cancelled / Commitments carried over C8</i> | 2% | 3 % | 3.6 % | 3.1% | 3.9% | 4.5% | 3.4% | 3.1% | 1.71% |

For 2019-C8 fund sources:

- › The unpaid balance of the carry-overs remained under 5% since 2011.

4. Budget implementation**4.1. TITLE I***4.1.1. Chapter 11 – Staff in active employment*

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|------------|----------------|-------------|------------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 19 999 176 | 19 999 176 | 19 969 543 | 18 270 933 | 18 270 933 | 18 227 283 |
| | 100% | 99.85% | | 100% | 99.8% |

| 2019 C4 | | | 2018 C4 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 47 998 | 47 998 | 47 998 | 55 029 | 55 029 | 55 029 |
| | 100% | 100% | | 100% | 100% |

| 2019 C5 | | | 2018 C5 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| - | - | - | 6 196 | 6 196 | 6 196 |
| | - | - | | 100% | 100% |

At the end of 31/12/2019, the Agency employed:

- › 139 Temporary Agents (TA) vs 148 TA of the establishment plan adopted. 9 TA posts were vacant at the end of 2019;
- › 35 Contract Agents (CA) (including 3 CA for the EUMEdRail grant agreement) vs 38 CA posts of the Multi Annual Staff Policy Plan. 3 CA Posts were vacant at the end of 2019.

In 2019, the Agency welcomed a total of 11 ERA trainees.

4.1.2. Chapter 13 – Missions and travel

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 67 000 | 67 000 | 60 051 | 84 232 | 84 232 | 78 944 |
| | 100% | 90% | | 100% | 94% |

| 2019 C4 | | | 2018 C4 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| - | - | - | 2 140 | - | - |
| | - | - | | - | - |

| 2019 C5 | | | 2018 C5 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 2 140 | 2 140 | 2 140 | - | - | - |
| | 100% | 100% | | - | - |

This chapter covers the costs incurred by the staff during missions (daily allowances and travel costs).

Throughout 2019, 352 administrative missions took place, including trips for trainings and medical services.

4.1.3. Chapter 14 – Socio-medical infrastructure

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 193 139 | 193 139 | 173 077 | 298 008 | 298 008 | 202 800 |
| | 100% | 90% | | 100% | 68% |

This chapter covers the costs of annual and pre-recruitment medical inspections and the costs of staff training needs.

The amount committed in 2019 (€ 193 139) is distributed as follows:

- › Medical visits € 43 988
- › Trainings ordered through the catalogue of the Commission € 15 900
- › Languages training courses € 59 471
- › Ad hoc training courses € 46 640
- › Social events € 27 140 for all staff members

4.1.4. Chapter 15 – Seconded National Experts

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 33 343 | 33 343 | 33 343 | 127 737 | 127 737 | 127 737 |
| | 100% | 100% | | 100% | 100% |

The Agency employed two Seconded National Experts in the first quarter of 2019.

4.1.5. Chapter 17 – Entertainment and representation expenses

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 1 670 | 1 670 | 1 670 | 2 112 | 2 112 | 1 707 |
| | 100% | 100% | | 100% | 81% |

This chapter covers the Agency's representation expenses.

4.2. TITLE II

4.2.1. Chapter 20 – Rental of buildings and associated costs

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|-----------|----------------|-------------|-----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 1 217 351 | 1 216 857 | 1 037 951 | 1 368 650 | 1 368 650 | 1 214 568 |
| | 99.96% | 85% | | 100% | 89% |

| 2019 C5 | | | 2018 C5 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| - | - | - | 1 033 | 1 033 | 1 033 |
| | - | - | | 100% | 100% |

This chapter covers the cost of renting the Agency's premises and parkings (€ 474 666 for Valenciennes and € 113 012 for Lille) and all associated expenditure (energy, cleaning, building maintenance, security and other expenditure on buildings such as building insurances, rental of water fountains, etc.). The Agency has two sites: one in Valenciennes (administrative seat) and one in Lille (conference facilities).

The Agency is also renting parking spaces for its staff in Valenciennes. The Agency decided not to rent parking spaces in Lille anymore (savings around 20 k€/year).

The expenditures related to the lease of the premises and associated services (e.g. reception, security, cleaning) are relatively stable (subject to year index factor), and can be detailed as follows:

- › € 16 000 for building insurances;
- › € 115 000 for energies (electricity, water, building charges, etc.);
- › € 95 000 for building maintenance;
- › € 95 000 for cleaning, exteriors and 3D services;
- › € 120 000 for security services and security equipment;
- › € 71 000 for reception services;
- › € 20 000 for H&S related services

The main works and improvements for the building in Valenciennes are the following:

- › € 15 660 for the replacement of the existing building access control system and supervision system;
- › € 10 000 for the purchase and installation of an air barrier system for the reception back door, including painting works;
- › € 10 000 for works for improving safety such as replacement of defective card SSI, installation of sound and light diffuseur for the Server room, etc..
- › € 40 000 for specific works were carried out on other parts of the building (e.g. painting of corridors, installation of LED lights, replacement of broken windows, boilers, etc.).

4.2.2. Chapter 21 – Data processing

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 603 234 | 603 234 | 585 385 | 952 603 | 952 227 | 689 563 |
| | 100% | 97% | | 99,9% | 72% |

| 2019 C4 | | | 2018 C4 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 21 587 | - | - | - | - | - |
| | - | - | | - | - |

| 2019 C5 | | | 2018 C5 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| | | | 8 753 | 8 753 | 8 753 |

| | | | | | |
|--|--|--|--|------|------|
| | | | | 100% | 100% |
|--|--|--|--|------|------|

This chapter covers the expenses related to the purchase and maintenance of data processing equipment and software.

During 2019 the Agency has acquired additional end user equipment (laptops) to accommodate the needs related to existing equipment reaching their life cycle end and the increase in the number of users, for a total of € 31 924.

In addition, the recurring costs were:

- › Software: ABAC system € 130 400;
- › E-PRIOR services € 21 600;
- › Use of SYSPER platform and services € 47 175;
- › Access to EU-LEARN € 2 090;
- › Access to RACHEL platform € 2 715;
- › The yearly renewal of the other available licenses € 367 330 (mainly microsoft licences for € 162 401);
- › The yearly fee for Commission procurement services € 16 600.

4.2.3. Chapter 22 – Movable property and associated costs

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 61 604 | 61 604 | 46 348 | 140 974 | 140 974 | 110 292 |
| | 100% | 75% | | 100% | 78% |

| 2019 C4 | | | 2018 C4 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 15 180 | - | - | - | - | - |
| | - | - | | - | - |

This chapter covers equipment for audio-visual, documentation storage, archiving and mail handling, hiring of fax machines, photocopiers, purchase of office furniture.

The costs for the maintenance of installed audio-visual equipment in Valenciennes and Lille represented € 42 567.

The costs for the maintenance of the printers and multifunctional devices represented € 18 384.

4.2.4. Chapter 23 – Current administrative expenditures

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 61 763 | 57 732 | 45 606 | 87 722 | 87 722 | 71 189 |
| | 93% | 74% | | 98% | 81% |

This chapter covers:

- › stationery and office supplies € 9 500;
- › legal expenses € 4 238;
- › Miscellaneous insurances € 7 720;
- › internal meetings € 969;
- › departmental removals and associated handlings € 22 890;
- › petty expenses € 7 500;
- › publications and translations € 4 915.

4.2.5. Chapter 24 – Postal expenses and Telecommunications

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 146 249 | 146 249 | 110 085 | 137 770 | 137 770 | 121 371 |
| | 100% | 75% | | 100% | 88% |

This chapter covers postal and delivery expenses, subscription expenses, cost of communication (telephone, internet, mobiles and data transmission) and all related equipment (purchase, maintenance, cabling of building).

- › The cost for postal expenses: € 7 320;
- › The contracted telecom services, including the Testa-ng connection and the link to the alternate site in Kayl: € 108 579;
- › Purchase of mobile phones: €30 350.

4.3. TITLE III

4.3.1. Chapter 30 – Operational activities

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|-----------|----------------|-------------|-----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 3 619 202 | 3 617 667 | 2 235 597 | 5 274 340 | 5 274 340 | 3 509 411 |
| | 99.96% | 62% | | 100% | 67% |

| 2019 C4 | | | 2018 C4 | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 73 875 | - | - | - | - | - |
| | - | - | | - | - |

This chapter covers all expenses directly linked to the Regulation n°2016/796. These expenses are the costs of the working groups and Management/Executive Board (reimbursement of travel expenses and daily subsistence allowance), studies, translations, registers (including One-Stop Shop), communication activities and quality management support.

For general activities (missions, experts, Board members, catering), the total amount committed in 2019 was € 887 864 vs € 1 525 861 in 2018. This reduction is a consequence of the budget restrictions and the temporary decision of the Management Board not to reimburse the costs of the experts attending the ERA working parties. This decision has been prolonged in 2020.

For translation and interpretation services the total amount committed in 2019 was € 424 751 vs € 889 812 in 2019.

In addition to the above recurrent expenses, the following expenses were incurred:

- ✓ For the development and support of the IT Railway Registers, the total amount committed in 2019 was € 1 873 952 and was used for:
 - › European Railway Agency Database of Interoperability and Safety (ERADIS)
 - › European Centralised Virtual Vehicle Register (ECVVR)
 - › Reference Document Database-National Legal Framework (RDD-NLF)
 - › European Railway Accident Investigation Links (ERAIL)
 - › Register of Infrastructure (RINF)
 - › European Register of Authorised Types of Vehicles (ERATV)
 - › Single Rules Database (SRD)
- ✓ For communication activities:
 - › Conference, events material (including CCRCC, preparation of Innotrans), the total amount committed in 2019 was € 235 432. This amount was partially recovered with the fees paid by the participants of the conference (€ 70 550).
 - › Development and maintenance of the ERA website ensured by the consultants of DG DIGIT, the total amount committed in 2019 was € 96 662.
 - › Communication, publications and videos €78 332
- ✓ For Audit training, the total amount commitment in 2019 was € 14 724.
- ✓ For quality management services, the total amount committed in 2019 was € 5 950.

For this chapter, the execution of payments appropriations 2019-C8 reached 97% of the total amount carried over € 1 764 928 and € 44 275 were cancelled.

| 2019 RO | | | 2018 RO | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 802 021 | 799 522 | 469 065 | 997 635 | 997 635 | 664 418 |
| | 99.7% | 58.49% | | 100% | 67% |

4.3.2. Chapter 31 – Operational expenditures

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|-----------|----------------|-------------|-----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 1 665 616 | 1 665 616 | 1 218 411 | 2 048 160 | 2 044 917 | 1 258 528 |
| | 100% | 73% | | 99,84% | 61% |

This chapter covers operational expenditures such as scientific library and IT dedicated systems, equipment and services.

The Agency provided ICT services and information management supporting the operational activities including:

- › Intra-muros consultancy services supporting the Extranet, the SRM and providing 1st and 2nd Level of support (€ 729 730);
- › The data centers in Valenciennes and Kayl were further enhanced with additional data storage and networking capabilities (€ 186 829);
- › ERTMS tool (ClearQuest Change Control Management) (€ 44 173);
- › Cloud services supporting the communications requirements between the Agency and the OSS applicants (WebEx) (€ 19 181);
- › SLA with CERT-EU (€ 65 000).

Out of € 1 636 219 committed for dedicated IT systems in Title III, € 446 097 were carried over for activities which extend into 2020, such as:

- › Improvements in the Agency IT security, capacity and reliability (€ 205 080);
- › renewals of licenses and hardware kept on hold during 2019 and released in December (€ 97 868);
- › Quarterly invoices for on-site consultants overlapping into 2020 (€ 143 149).

The cost of the scientific library for the Agency was €29 397.

For this chapter 31, the execution of payments appropriations 2019-C8 reached 99.9% of the total amount carried over € 786 389.

4.3.3. Chapter 30 – Delegation agreements (RO-credits)

| 2019 C1 | | | 2018 C1 | | |
|----------------|-------------|----------|----------------|-------------|-----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 802 020 | 799 522 | 460 065 | 2 048 160 | 2 044 917 | 1 258 528 |
| | 99.7% | 58% | | 99,84% | 61% |

This chapter covers operational expenditures linked to two delegation agreements that the Agency has signed with DG NEAR.

- ✓ IPA 2019: Out of the € 112 366 committed € 91 733 was paid and €18 633 carried over.
- ✓ EUMEDRAIL 2019: Out of the € 689 156 committed € 377 331 and € 311 825 carried over.

In December 2019, the Agency received the next instalment of € 468 803 for EUMEDRAIL and € 450 000 for the implementation of the new IPA Western Balkans agreement for 2020-2022 with DG NEAR.

4.4. TITLE IV

4.4.1. Chapter 40 – 4RP Activities

| 2019 RO | | | 2018 RO | | |
|----------------|-------------|----------|----------------|-------------|----------|
| Appropriations | Commitments | Payments | Appropriations | Commitments | Payments |
| 1 793 032 | 1 615 437 | 4 226 | - | - | - |
| | 90% | 0.24% | | - | - |

This chapter covers all expenses directly linked to the Regulation n°2016/796 linked with the 4WP activities. The amount of the available commitment appropriations is based upon a forecast of revenue which is made for each single application. Since the payment appropriations only become available at the moment of the cash receipt the level of payments in 2019 is very low. This should change in 2020 when it is expected to receive the majority of the outstanding receivables.