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## Document Review – Comment Sheet

*Document commented: Common Safety Methods on the assessment of Safety Level and Safety Performance of railway operators at national and Union level (CSM ASLP)*

<i>Requestor:</i>	Consultation.ERA1219@era.europa.eu
<i>Deadline for submitting comments:</i>	17 March 2021

	<i>Reviewer 1</i>	<i>Reviewer 2</i>	<i>Reviewer 3</i>	<i>Reviewer 4</i>	<i>Reviewer 5</i>
<i>Date:</i>	15.3.2021				
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### *Document History*

<i>Version</i>	<i>Date</i>	<i>Comments</i>
0.1		
0.2		
0.3		

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*Conventions:*

<i>Type of Comment</i>		<i>Reply by requestor</i>	
<i>G</i>	General	<i>R</i>	Rejected
<i>M</i>	Mistake	<i>A</i>	Accepted
<i>U</i>	Understanding	<i>D</i>	Discussion necessary
<i>P</i>	Proposal	<i>NWC</i>	Noted without need to change

*Review Comments <if necessary add extra lines in the table>*

<i>N°</i>	<i>Reference (e.g. Art, §)</i>	<i>Type</i>	<i>Reviewer</i>	<i>Reviewer's Comments, Questions, Proposals</i>	<i>Reply</i>	<i>Proposal for the correction or justification for the rejection</i>
1.	APPENDIX A – PART A Taxonomy of event types, Category A events, page 74	G/U		"RSD AppAnnexl." What does this refer to? We did not find any AppAnnexes from the Directive on railway safety (2016/798).	A	Reference is clarified in the final text.  This is a reference to the appendices of the Railway Safety Directive (EU 2016/798), more specifically to Annex I of this directive. In Annex I the Common safety indicators (CSI) to be reported by the national safety authorities are described. Wherever the reference "RSD AppAnnexl" appears in Appendix A of the CSM ASLP, it is meant that the same event definition applies as for reporting on CSIs.

<i>N°</i>	<i>Reference (e.g. Art, §)</i>	<i>Type</i>	<i>Reviewer</i>	<i>Reviewer's Comments, Questions, Proposals</i>	<i>Reply</i>	<i>Proposal for the correction or justification for the rejection</i>
2.	APPENDIX A – P PART A Taxonomy of event types, Category A events, page 74			A6.2 Electrocutation could be deviated to 2 points: Electrocutation from track infrastructure and Electrocutation from rolling stock. If electrocutation happens from rolling stock, there is always something wrong either in equipment or in the way it is operated and/or maintained.	D	<p>Your input will be discussed by the Group of Analysts (GoA) in the process of the Taxonomy improvement integrated in the CSM.</p> <p>1. See answer to comment N° 1. A choice has been made to maintain alignment with the current legal framework in terms of reporting on CSIs. But this can be improved with time.</p> <p>2. For specific types of events, operators will be asked to report on the entire occurrence scenario. In that case more information on the potential sources of the type A event can be reported on using the type B and C event types + contributing factors.</p> <p>3. In case, it would appear necessary, The GoA can propose a change to the Taxonomy which can be implemented as part of the CSM ASLP continuous improvement process</p>



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6.	APPENDIX A – PART A Taxonomy of event types, Category B events, page 79	P		B.2.3.3 Traction motor failure (electrical). It could be more beneficial to use “Traction equipment failure.” This way all components in traction chain, like transformer and converter failures, could be reported.	D	It is the intention to provide clear definitions for event types not yet defined elsewhere in the legal framework, in column 3 of the tables describing the event types. This input will be taken into account as input for the work by GoA Sub group A to continuously improve the taxonomy.

*Note: This table could be changed according to the requestor's needs*

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